Anand Rathi Portfolio Management.

Data For the month ending- AUGUST 2023

| Sr. No. | Received from | Pending at the end of last month | Received | Resolved* | Total Pending# | Pending complaints> 3 months | Average Resolution time(in days) |
|------------|----------------------------|---|----------|-----------|-------------------|------------------------------------|---|
| 1 | Directly from investors | NIL | NIL | NIL | NIL | NIL | 30 days |
| 2 | SEBI SCORES | NIL | NIL | NIL | NIL | NIL | 30 days |
| 3 | Other sources (if any) | NIL | NIL | NIL | NIL | NIL | 30 days |
| 4 | GRAND TOTAL | NIL | NIL | NIL | NIL | NIL | 30 days |

Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints

| Sr. | Month | Carried | Received | Resolved* | Pending# |
|-----|-------------|--------------|----------|-----------|----------|
| no. | | forward from | | | |
| | | previous | | | |
| | | month | | | |
| 1 | APRIL 2023 | NIL | 01 | 01 | NIL |
| 2 | MAY 2023 | NIL | NIL | NIL | NIL |
| 3 | June 2023 | NIL | NIL | NIL | NIL |
| 4 | July 2023 | NIL | 01 | 01 | NIL |
| 5 | August 2023 | NIL | NIL | NIL | NIL |
| | GRAND TOTAL | NIL | 02 | 02 | NIL |

*Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

Trend of annual disposal of complaints

| SN | Year | Carried forward from previous year | Received | Resolved** | Pending## |
|----|-------------|--|----------|------------|-----------|
| 1 | 2018-19 | NIL | NIL | NIL | NIL |
| 2 | 2019-20 | NIL | NIL | NIL | NIL |
| 3 | 2020-21 | NIL | NIL | NIL | NIL |
| 4 | 2021-22 | NIL | 02 | 02 | NIL |
| 5 | 2022-23 | NIL | 01 | 01 | NIL |
| 6 | 2023-24 | NIL | 02 | 02 | NIL |
| | Grand Total | NIL | 05 | 05 | NIL |

**Inclusive of complaints of previous year resolved in the current year.

##Inclusive of complaints pending as on the last day of the year.